

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Jfw

In re the Application of

Notice of Allowance

Mailed: 05/04/2004

TATSUMI et al.

Confirmation No.: 6659

Application No.: 09/925,739

Art Unit: 2836

Filed: August 10, 2001

Examiner: Kitov, Zeev

For: ELECTROSTATIC CHUCKING DEVICE AND  
MANUFACTURING METHOD THEREOF

Docket No.: 101160-00017

**REQUEST FOR ACKNOWLEDGEMENT OF  
CONSIDERATION OF DISCLOSED INFORMATION**

Mail Stop: Issue Fee  
Director of the U.S. PTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 2, 2004

Sir:

Information Disclosure Statements with Forms PTO-1449 were filed in the above-captioned patent application on November 6, 2001 and June 4, 2004. Applicants have not yet received copies of the Forms PTO-1449 initialed by the Examiner to acknowledge the cited disclosed information was considered by the Examiner.

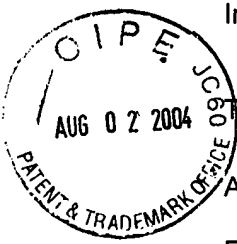
The Examiner is requested to initial and return to the undersigned a copy of the each Form PTO-1449. For the convenience of the Examiner, copies of the Forms PTO 1449 are attached. Also attached are copies of the PTO postcard receipts date-stamped by the PTO acknowledging receipt of the November 6, 2001 and June 4, 2004 Information Disclosure Statements.

Should there be any questions concerning this communication, please telephone the undersigned attorney at the telephone number set forth below. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

  
Murat Ozgu  
Registration No. 44,275

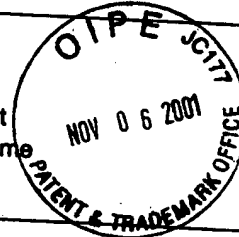
Customer Number: 004372  
ARENT FOX PLLC  
1050 Connecticut Avenue, N.W., Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000; Fax: (202) 638-4810  
Enclosures: PTO Forms 1449 (2)  
PTO postcard receipts (2)





Patent ☒ Trademark ☐ Docket No. 101160-00017  
Serial No. 09/925,739 Filed Aug-10, 2001  
Applicant(s) Tatsumi et al.  
Papers filed herewith on NOV-6, 2001

<input type="checkbox"/> Fees \$ _____	<input type="checkbox"/> Assignment
<input type="checkbox"/> New Application	<input type="checkbox"/> Declaration
<input type="checkbox"/> Amendment	<input type="checkbox"/> Priority Document
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Req. for Ext. of Time
<input checked="" type="checkbox"/> USPTO-1449 (5 refs)	<input type="checkbox"/> Drawings
<input type="checkbox"/> Other _____	



Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case.

GEO/bk

COMMISSIONER OF PATENTS

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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsumi et al.

Docket No. 101160-00017

Serial No.: 09/925,739

Examiner: Not assigned

Filed: August 10, 2001

Art Unit: 3722

For: ELECTROSTATIC CHUCKING DEVICE AND MANUFACTURING METHOD THEREOF

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

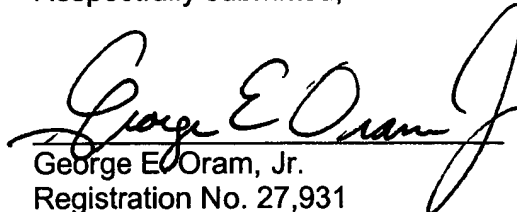
Date: November 6, 2001

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

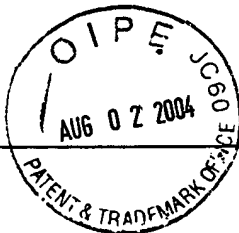
- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
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- ☒ 4. References AN, AO, and AP are discussed on pages 3 and 4 of the specification of the present application.

Respectfully submitted,

  
George E. Oram, Jr.  
Registration No. 27,931

Customer No. 004372  
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
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Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

101160-00017

SERIAL NO.

09/925,739

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Tatsumi et al.

FILING DATE

August 10, 2001

GROUP

3722

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AG								
	AH								
	AI								
	AJ								

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	Abstract of Japanese Patent Publication No. 02-027748, Jan. 30, 1990.
	AN	Abstract of Japanese Patent Publication No. 10-209256, Aug. 7, 1998.
	AO	Abstract of Japanese Patent Publication No. 10-209257, Aug. 7, 1998.
	AP	Abstract of Japanese Patent Publication No. 11-297805, Oct. 29, 1999.
	AQ	Abstract of Japanese Patent Publication No. 10-212468, Aug. 11, 1998.

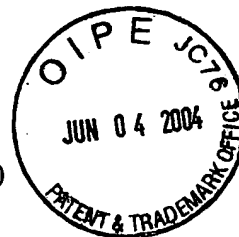
EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket No. 101160-00017  
U.S. Patent Application No. 09/925,739  
Filed: August 10, 2001  
Applicants: TATSUMI et al.  
Papers filed herewith on: June 4, 2004  
Check no. 4059 00 in the amount of: \$180.00



The following papers are attached:  
Information Disclosure Statement; PTO Form 1449 w/10 references;  
European Search Report dated May 3, 2004

**COMMISSIONER OF PATENTS**

GEO/MO:elp

*Due Date:* 08/03/2004

*B*

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No. 6659

TATSUMI et al.

Atty. Docket No. 101160-00017

Serial No.: 09/925,739

Examiner: Kitov, Zeev

Filed: August 10, 2001

Art Unit: 2836

For: ELECTROSTATIC CHUCKING DEVICE AND MANUFACTURING  
METHOD THEREOF

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP ISSUE FEE  
Director of the U.S. PTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: June 4, 2004

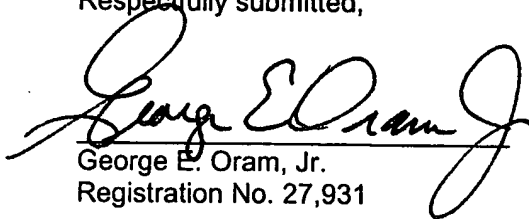
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

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- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☒ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 5. An English-language Abstract(s) of the non-English language reference(s) are attached hereto.

Respectfully submitted,

  
George E. Oram, Jr.  
Registration No. 27,931

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Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

MO/GEO/elp  
Enclosures: PTO Form 1449 w/10 references  
European Search Report dated May 3, 2004  
244237\_1.DOC





FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
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ATTY. DOCKET NO.

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## LIST OF REFERENCES CITED BY APPLICANT

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APPLICANT

TATSUMI et al.

FILING DATE

August 10, 2001

GROUP

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5,494,522	Feb. 27, 1996	Moriya et al.			Mar. 17, 1994
	AB	5,745,331	Apr. 28, 1998	Shamouilian et al.			Jan. 31, 1995
	AC	5,908,334	June 1, 1999	Chen et al.			June 24, 1997

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AD	EP 0 725 426	Aug. 7, 1996	EP			X		
	AE	2000-243823	Sept. 8, 2000	JP					X
	AF	EP 0 948 042	Oct. 6, 1999	EP				X	
	AG	02-027748	Jan. 30, 1990	JP					X
	AH	10-209256	Aug. 7, 1998	JP					X
	AI	11-297805	Oct. 29, 1999	JP					X
	AJ	10-212468	Aug. 11, 1998	JP					X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AK	Patent Abstracts of Japan, Pub. No. 2000 243823, published September 8, 2000
	AL	Patent Abstracts of Japan, Pub. No. 02 027748, published January 30, 1990
	AM	Patent Abstracts of Japan, Pub. No. 10 209256, published August 7, 1998
	AN	Patent Abstracts of Japan, Pub. No. 11 297805, published Oct. 29, 1999
	AO	Patent Abstracts of Japan, Pub. No. 10 212468, published Aug. 11, 1998

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DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.